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Exchange of Carbon Dioxide by a Deciduous Forest: Response to Interannual Climate Variability

Michael L. Goulden, J. William Munger, Song-Miao Fan, Bruce C. Daube, Steven C. Wofsy*

The annual net uptake of CO₂ by a deciduous forest in New England varied from 1.4 to 2.8 metric tons of carbon per hectare between 1991 and 1995. Carbon sequestration was higher than average in 1991 because of increased photosynthesis and in 1995 because of decreased respiration. Interannual shifts in photosynthesis were associated with the timing of leaf expansion and senescence. Shifts in annual respiration were associated with anomalies in soil temperature, deep snow in winter, and drought in summer. If this ecosystem is typical of northern biomes, interannual climate variations on seasonal time scales may modify annual CO₂ exchange in the Northern Hemisphere by 1 gigaton of carbon or more each year.

Observations of atmospheric CO₂ indicate that the carbon balance [net ecosystem production (NEP)] of the Earth's terrestrial biosphere varies by 1 gigaton of carbon per year (Gt C year⁻¹) (1 Gt = 10¹² metric tons) or more from year to year (1). Many ecosystem processes are sensitive to weather (2), and the fluctuations in global NEP are probably a consequence of interannual climate variability. However, direct observations of the effects of climate variability on the CO₂ exchange of whole ecosystems are required before the causes of global NEP variation can be assessed reliably.

We used a 5-year record of the turbulent exchange of CO₂ between the atmosphere and a deciduous forest in New England [net ecosystem exchange (NEE)] (3–5) to evaluate the magnitude and causes of interannual variations in net production (NEP), respiration (R) (6), and gross ecosystem exchange (GEE) (7). The eddy-covariance technique (8, 9) was used to measure hourly NEE from 28 October 1990 to 27 October 1995 (10) at Harvard Forest in central Massachusetts. Ecosystem respiration was measured directly during dark periods and estimated as a function of soil temperature during light periods (8). Hourly GEE was inferred by subtracting R from NEE.

Measurements of NEE were obtained during 27,000 of 44,000 hours, with gaps for calibration, data transfer, maintenance, equipment failure, rain, and stable nocturnal periods. The study included warm (1990–1991, the 2nd warmest year out of 32), cold (1991–1992, the 2nd coldest; 1993–1994, the 4th coldest), and moderate (1992–1993, the 14th coldest; 1994–1995, the 19th coldest) years (10, 11), mild (1991 and 1995, among the four warmest) and cold (1993 and 1994, among the eight coldest) winters, cool (1992, the 3rd coldest) and hot (1993, 1994, and 1995, among the six hottest) summers, and dry (1995, the 6th driest) and wet (1991, 1992, and 1994, among the seven wettest) summers.

The forest gained 30 to 60 kg C ha⁻¹ day⁻¹ in the growing seasons and lost 10 to 20 kg C ha⁻¹ day⁻¹ in the dormant periods (Fig. 1). Annual net CO₂ uptake ranged from 1.4 to 2.8 metric tons C ha⁻¹ (Table 1) (12, 13), with above-average uptake in 1990–1991 and 1994–1995 (14). The rise in sequestration during 1990–1991 was caused by increased annual gross production, and the rise during 1994–1995 was caused by decreased annual R. Annual GEE and R varied as the result of 1- to 2-month-long episodes of anomalous activity (Fig. 2). For example, lower than average annual net production in 1993–1994 (Table 1) was a consequence of both higher than average respiration (Fig. 2) and lower than average gross production (Figs. 2 and 3) in spring.

Large changes in annual GEE were associated with modest changes in the length of the growing season (Figs. 2 and 3). The leaves emerged 6 to 10 days later.
Table 1. Values of NEE and $R$ summed from day of year (DOY) 301 to DOY 300, and GEE summed from DOY 100 to DOY 300. Exchange from the forest is positive. Numbers in parentheses are 5 and 95 percentile confidence intervals (8, 14). Net exchange in 1994–1995 was significantly more negative than in 1991–1992 and 1993–1994, which in turn were more negative than in 1992–1993. Net exchange in 1990–1991 was significantly more negative than in 1992–1993 and 1993–1994.

<table>
<thead>
<tr>
<th>Year</th>
<th>NEE</th>
<th>GEE</th>
<th>$R$</th>
</tr>
</thead>
<tbody>
<tr>
<td>1990–1991</td>
<td>-2.8</td>
<td>-12.1</td>
<td>9.6</td>
</tr>
<tr>
<td>1992–1993</td>
<td>-1.4</td>
<td>-12.7</td>
<td>11.4</td>
</tr>
<tr>
<td>1994–1995</td>
<td>-2.7</td>
<td>-10.7</td>
<td>8.1</td>
</tr>
</tbody>
</table>

in 1992, 1994, and 1995 than in 1991 and 1993, with similar delays in the uptake of carbon. Leaf expansion was correlated with air temperature, starting around 300 degree-days (15) and ending around 650 degree-days. Large shifts in annual GEE therefore resulted from brief anomalies in temperature during April and May. Canopy senescence began after the onset of cool nights (below 5° to 10°C). This occurred relatively late in 1992 and 1993, allowing photosynthesis to continue for 5 to 10 days longer than in 1994 and 1995 and increasing gross production by around 500 kg C ha$^{-1}$.

Prolonged periods of cloudiness during mid-July 1992, mid-August 1992, and August 1994 each reduced gross production by around 400 kg C ha$^{-1}$ (Fig. 2). The response of forest photosynthesis to the physical environment (light, temperature, and evaporative demand) varied little from summer to summer. We observed small enhancements (5 to 10%) in the instantaneous rates of photosynthesis at a given light level in 1993 and 1994 compared with 1991 and 1992, but these were only slightly larger than the long-term precision of the measurements (8). A modest (10%) reduction in photosynthesis at a given light level was associated with severe drought in August and September 1995 (Fig. 1).

The most striking period of anomalous respiration occurred in winter 1992–1993, when intermittent increases in efflux released a total of 1.6 to 2.0 tons C ha$^{-1}$ (Figs. 1 and 2) (16, 17). These episodes coincided with high winds (8), a pattern we attribute to aspiration of CO$_2$ accumulated in soil pores. The increases were observed only when the flux footprint was northwest of the tower, a poorly drained area that includes a bog. Periods of extreme efflux were not observed from this sector in other years and never from the southwest, an upland area of oaks and maples. The episodes started in December 1992, after a heavy snow on unfrozen soil (Fig. 4). This snowpack and subsequent rains possibly compressed regions of the bog, altering soil aeration and causing increased pore-space CO$_2$ for several months as a result of accelerated decomposition. The cumulative uptake in 1993 after removal of winter periods with northwest winds was 3.3 tons C ha$^{-1}$, significantly greater than in 1992, 1994, or 1995, and the cumulative $R$ in 1993 was 9.6 tons C ha$^{-1}$.

Smaller enhancements in fall, winter, and spring respiration (Fig. 2) were correlated with unusually warm soil temperatures (Fig. 4). An increase in respiration of 200 kg C ha$^{-1}$ during fall 1993 coincided with a 2°C rise in soil temperature. Respiration rates and soil temperatures were higher than normal in winter 1994, despite colder than normal air temperatures, reflecting thermal insulation by deep snow. The sensitivity of spring and winter respiration to soil temperature was often greater than expected for a direct effect of temperature on metabolism. Soil temperatures averaged 2.7°C from 15 March to 30 April 1992, with freezing periods through most of April, compared with 4.5°C during the other years, when freezing ended in late March or early April. The corresponding decline in respiration during 1992, around 500 kg C ha$^{-1}$ or 40%, exceeded the 13% reduction expected for a respiration coefficient $Q_{10}$ of 2.0 (8, 18). The rate of microbial decomposition near 0°C may be limited by freezing (19), potentially amplifying the response of ecosystem respiration to weather anomalies that affect soil frost, such as late arrival of spring or deep snow.

Respiration rates in summer were extremely consistent from 1991 to 1994 (Figs. 1 and 2) despite a range of mean air temperatures. A decline in respiration of nearly 1000 kg C ha$^{-1}$ during late summer 1995 (Figs. 1 and 2) coincided with a severe drought when only 10% of normal precipitation was recorded. Remarkably, this decrease in respiration (30%) more than offset
the simultaneous decrease in photosynthesis (10%). The depletion of water near the soil surface apparently reduced soil respiration, while water remaining deep in the soil column supported photosynthesis, resulting in above-average carbon storage during 1994–1995 (Table 1).

Annual CO₂ exchange was particularly sensitive to four aspects of climate: (i) the length of the growing season, regulated by air temperature in spring and early fall, (ii) cloud cover in summer, (iii) snow depth and other factors affecting soil temperature in the dormant season, and (iv) drought in summer. Photosynthesis and respiration were relatively insensitive to other aspects of climate, including growing-season temperature. Shifts in annual CO₂ exchange resulted from weather anomalies during periods when the forest was particularly sensitive, rather than from changes in annual mean conditions. Most predictions of the response of terrestrial ecosystems to climatic warming focus on a shift in annual mean temperature, ignoring the possibility that CO₂ exchange may be especially sensitive to the weather during specific intervals of the year.

A quantitative assessment of the effects of climate variability on global GEE, R, and NEE will require combining long-term flux observations in all of the major biomes (20) with spatially and temporally resolved weather data (21). We can make a rough estimate of the interannual variability in Northern Hemisphere CO₂ exchange by assuming that all northern biomes respond with half the intensity observed at Harvard Forest. Mid-winter snow cover in the Northern Hemisphere has varied over the past 20 years by 7 × 10⁹ km² (22), potentially shifting hemispheric R by 0.1 to 0.8 Gt C year⁻¹, depending on whether the phenomenon observed in 1992–1993 occurs elsewhere. Similarly, variations in fall and spring temperatures, inferred from the extent of fall and spring snow cover, could shift hemispheric R by 0.2 to 0.4 Gt C year⁻¹ and GEE by 0.2 to 0.4 Gt C year⁻¹. Finally, variation in cloud cover over continents in summer could shift global GEE by at least 1 Gt C year⁻¹ (23, 24). These fluctuations in hemispheric CO₂ exchange are of the same magnitude as those derived from analyses of atmospheric CO₂ data (1).

The climate over northern continents has tended in recent decades toward warmer springs (25), warmer autumn nights (26), diminished snow pack (22), and increased cloud cover (23). We have shown that the annual CO₂ exchange at Harvard Forest is sensitive to each of these aspects of climate. If the responses observed at Harvard Forest are indeed typical of northern biomes and persistent over decadal time scales, these trends in climate may have altered the carbon balance of the northern terrestrial biosphere (21).

Longer growing seasons and reduced snow cover may therefore account for some of the net uptake of CO₂ attributed to the terrestrial biosphere (1).

REFERENCES AND NOTES


3. Net ecosystem exchange equals the sum of photosynthetic carbon fixation and oxygenation, autotrophic respiration, and heterotrophic respiration. We assume annual NEE is equivalent to NEP, because the site did not burn and because carbon exchanges in forms other than CO₂ and by processes other than turbulent transport are likely small. Exchange (NEE) and GEE from the atmosphere is considered a negative flux. Ecosystem processes such as carbon storage (NEP) and photosynthesis (gross production) are discussed as positive.


5. The observations were made above a 65- to 75-year-old maple stand (10 to 20% conifer) at the Harvard Forest near Petersham, MA; 42.54°N, 72.18°W (4, 5). The net exchange of CO₂ was measured using eddy covariance of 30 m, and the storage of CO₂ beneath 30 m was derived from sequential measurements of CO₂ concentration at eight altitudes (4). Hourly eddy flux and storage data are presented elsewhere.

6. The sum of autotrophic dark respiration and heterotrophic respiration.

7. The sum of photosynthetic carbon fixation and oxygenation.


10. We calculate annual NEE, R, and climate from day of year (DOY) 301 to DOY 300 (28 October to 27 October) to avoid a break in mid-winter (Fig. 1). Annual GEE was calculated from DOY 100 to 300. The annual net uptake for calendar years was 2.7, 1.7, 1.6, and 2.2 Gt C 1 year⁻¹ in 1991, 1992, 1993, and 1994, respectively.

11. NOAA Meteorological Data (Harvard Forest, Petersham, MA), Snow depth, precipitation, and minimum and maximum temperatures 1.6 km from the site has been recorded daily since 1936.

12. The present calculation of net carbon storage for 1991 (2.5 ± 0.6 Gt C ha⁻¹) is 1 Gt C ha⁻¹ less than reported previously (0.7 ± 0.7 Gt C ha⁻¹ year⁻¹) (4), reflecting recalculation of a CO₂ standard (lowered calculated annual storage by 0.2 Gt C ha⁻¹) and a more detailed accounting for the underestimation of nocturnal exchange (lowered storage by 0.8 Gt C ha⁻¹) (8).

13. Annual GEE and R were integrated over different intervals (10) and therefore do not sum exactly to NEE.

14. We used a Monte Carlo simulation to determine the probability that differences in flux were a result of sampling uncertainties caused by discontinuous observations (9). Difference uptake were considered significant if fewer than 5% of the Monte Carlo simulations overlapped and, to guard against misinterpretations of a shift in measurement gain (8) as a shift in activity, the flux increased at least 10%.

15. Daily mean above 0°C integrated since DOY 100.

16. We examined these periods in detail for experimental artifacts. Anthropogenic fluctuation can be ruled out because there were no known dwellings or traveled roads within 2 km west of the site and the concentrations of CO and CO₂ (tracers for combustion) remained low. Program error can be excluded because the same code was used throughout the study. Measurement error is unlikely because (i) the enhancement occurred only during northeast winds and not during intervening southwest winds; (ii) the fluxes of latent heat, sensible heat and momentum, the response and gain of the CO₂ analyzer, and the CO₂ and CO spectra and CO₂ and CO spectrometer normal; and (iii) the enhanced efflux resulted from a simultaneous, correlated increase in both and CO₂. Primes and denote instantaneous deviations and standard deviations from the 60-min mean quantity, respectively (9).


18. O₂ is the fractional change in the rate of respiration for a temperature change of 10°C; a value near 2.0 is typical of temperate soils; J. W. Reich and W. H. Schlesinger, Tellus B 44, 81 (1992).


24. Cloudiness at continental scales varies as much as 5% from year to year (23). A 2% decrease in annual terrestrial photosynthetically active photon flux density that decreases gross production by 1% would change global production by about 1 Gt C year⁻¹ (9).


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